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TECHNOLOGY CENTER R3700

P Rractitioner's Docket No. 013341-000003b

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Buncke

Application No.: 09/919,750

Group No.: 3731

Filed: July 31, 2001

Examiner: Jackson, Gary

For: Surgical Methods Using One-Way Suture

Patent No:

Issued:

Commissioner for Patents Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST (REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified

application,

patent,

REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

the following attorney(s) and/or agent(s) are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

<u>Attorney</u>	Registration No.
Michael G. Johnston	38,194
Dominic J. Chiantera	27,776
Steven B. Phillips	37,911
Charles L. Evans	40,380
Charles L. Moore, Jr.	33,742
Matthew W. Witsil	47,183
Jennifer L. Skord	30,687
Gregory A. Stephens	41,329

Attached, as part of this power of attorney, is the authorization of the above-
named attorney(s) to accept and follow instructions from my representative(s)

JAN 1 \$ 2003

SEND CORRESPONDENCE TO: Michael G. Johnston MOORE & VAN ALLEN PLLC 2200 West Main Street, Suite 800 Durham, North Carolina 27705 DIRECT TELEPHONE CALLS TO: Michael G. Johnston (919)-286-8037

Customer No	o.: 24239	
OIPE		Quill Medical, Inc.
JAN 0 9 2003 2		2505 Meridian Parkway, Suite 150 Address Research Triangle Park, NC 27713
X	Recorded in the PTO on 12/20/02	TEQ
-	Reel 013305	NOL
	Frame0279	TECHNOLOGY CENTER R3700
	Recorded herewith	NTER
		R370
	ASSIGNEE STATEM	IENT 5
	his power is a "STATEMENT UNDER 37 C.	F.R. § 3.73(b)."
Date:/	12/07	signature Megaro
		Name of person signing
		President & CEO Title of person signing
(check the fo	llowing item, if it forms a part of this power o	of attorney)
	Added page - Authorization of attorney(s) representative	to accept and follow instructions from

Auorney's Docket No.: 013341-000003b

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Buncke

Application No.: 09/919,750

Filed: July 31, 2001

For: Surgical Methods Using One-Way Suture

Commissioner for Patents Washington, D.C. 20231



Group Art Unit: 3731

Examiner: Jackson, Gary



CERTIFICATE UNDER 37 CFR 3.73(b) ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

•	Quill Medical, Inc.	• • •
	Name of Assignee	
	Corporation	
	Type of assignee, e.g., corporation, partnership, university, government agency, etc.	
ş	Type of assignee, e.g., corporation, partnership, university, government agency, etc.	

CERTIFICATION 37 CFR 1.10

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Express Mail Label No. EV 205 195763US

Signature

Pre	sident and C	EO
<u></u>		lete the following, if applicable)
		ne person signing below, aver that I am empowered to sign this statement on behalf of the gnee.
		BASIS OF ASSIGNEE'S INTEREST
	Ownersh	ip by the assignee is established as follows:
A.	1. 🕱	An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at Reel, 013305 Frame. 0279
	2. [_	An assignment (document) separately being submitted for recordal herewith.
AND/OR		
B.		A chain of title from the inventor(s) to the current assignee as shown below:
	1	From: Harry J. Buncke
		Name of inventor(s)
		To: Frank Hoffman (1/2 interest)
		Recorded in PTO: Reel <u>8572</u> , Frame <u>0279</u>
	2	Prom: Harry J. Buncke and Frank Hoffman' Name of inventor(s) or assignee
		To: Quill Medical, Inc.
		Recorded in PTO: Reel 013305, Frame 0279
	3	8. From: Name of inventor(s) or assignee
		To:
		Recorded in PTO: Reel, Frame
		Additional documents in the chain of title are listed in the attached Supplemental Sheet

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

	Copies of the as follows:	ssignment(s) or	other document(s	s) in the chain of title	are attac	hed as
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JAN 0 9 2003 C] 1	_ 2	☐ 3	0	
PADEMARK OFFICE		I	DECLARATIONS	S	TECHNOLOGY.CENTER:#13700	يے
	undersigned, have	reviewed all the	e documents in the	chain of title of the	OLOGY:	I NA
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know	r identified above a ledge and belief, tit fied above.	· ·	•	,	C	
information and beli that willful false sta	ief are believed to latements, and the latements of the United States	be true; and fur like so made, a States Code, ar issuing thereor (Signature	ther, that these stare punishable by ad that such willful. If the punishable by a such willful that such willful that such willful that such willful that such will that such a		the known	wledge under

Title of authorized person





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JULY 29, 1997

PTAS

THOMAS M. FREIBURGER 650 CALIFORNIA STREET, 29TH FLOOR SAN FRANCISCO, CALIFORNIA 94108



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RECORDATION DATE: 05/21/1997

REEL/FRAME: 8572/0279

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BUNCKE, HARRY J.

DOC DATE: 04/30/1997

ASSIGNEE:

HOFFMAN, FRANK 1760 WILLOW ROAD HILLSBOROUGH, CALIFORNIA 94010

SERIAL NUMBER: 08859887

PATENT NUMBER:

FILING DATE: ISSUE DATE:

JOANN STEWART, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



40/58/

LAW OFFICES OF

THOMAS M. FREIBURGER

PATENT, TRADEMARK & COPYRIGHT LAW 650 CALIFORNIA STREET, 29TH FLOOR SAN FRANCISCO. CALIFORNIA 94108

07-03-1997



100448695

Commissioner of Patents and Trademarks Washington, D.C. 20231

Re: Doo

Docket No. 447P

Recordation of Assignment Of Patent Application From

Harry J. Buncke to Frank Hoffman for Surgical Methods Using One-Way Suture

Dear Sir:

Please record the enclosed copy of an assignment document. Relevant assignment data are as follows:

Assignor:

Harry J. Buncke

1565 Kingswood Drive

Hillsborough, California 94010

Assignee:

Frank Hoffman

1760 Willow Road

Hillsborough, California 94010

This is an assignment of an undivided one-half interest in the patent application and patent, the assignment document having been executed April 30, 1997.

Please send all correspondence to Thomas M. Freiburger, at the address on this letter.

This is a request for recordation of an assignment of a single patent application, and the required fee of \$40 is enclosed.

FACSIMILE (415) 981-5027



Commissioner of Patents and Trademarks May 21, 1997 Page 2

To the best of my knowledge and belief, the information contained herein is true and correct, and any copy submitted is a true copy of the original document.

Yours very truly,

Thomas M. Freiburger

Reg. No. 27,0\$3

Attorney for Harry J. Buncke and

Frank Hoffman

TMF/jf Enclosure

ASSIGNMENT

WHEREAS I, Harry J. Buncke, residing at 1565 Kingswood Drive, Hillsborough, California 94010, a citizen of the United States of America, have invented certain new and useful improvements for "SURGICAL METHODS USING ONE-WAY SUTURE" for which application for Letters Patent has been executed of even date herewith; and

WHEREAS, Frank Hoffman of 1760 Willow Road, Hillsborough, California 94010 is desirous of acquiring an interest therein, to and under the said improvements and the said application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, Harry J. Buncke, do hereby sell, assign, transfer and set over, unto Frank Hoffman, his successors, legal representatives and assigns, an undivided one-half of the full right, title and interest in, to and under the said improvements, and the said application for patent, and all divisions, continuations and continuations-in-part thereof, and all patents of the United States which may be granted thereon and all applications for Letters Patent which may be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States, and to all

extensions, renewals, and reissues thereof, and the right to claim priority under the International Convention for the Protection of Industrial Property; and I hereby authorize and request the Commissioner of Patents of the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Harry J. Buncke and Frank Hoffman, in the respective 50% interests.

It is hereby mutually agreed that neither Harry J. Buncke nor Frank Hoffman will grant a license or licenses under said application or Letters Patent (U.S. or foreign) or sell his interest or any portion of his interest therein without the written consent of the other, and no license or assignment shall be valid without the signature of both affixed thereto; and further it is agreed that all profits, of whatever nature, arising from sales of rights, licenses, or privileges to manufacture, sell or use said invention and improvements or from sales of the patent or any interest therein, shall be divided among the parties hereto in the respective interests stated herein, and that each shall account to the other for any profits, purchase price or royalties, etc. so received.

It is further agreed that if any party hereto shall make or shall have made or sell products coming within the said application or patent, he will account to the other for an amount

equal to a percentage of a reasonable royalty thereon corresponding to the ownership percentage of the parties as set forth hereinabove, or a similar percentage of profit from such sales, whichever is greater.

IN WITNESS WHEREOF, we have executed and delivered this assignment on the date set forth below.

Date: 4/30/

Harry J. Buncke

Date: 4/30/97

Frank Hoffman



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office ABSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

PTAS

DECEMBER 23, 2002

MOORE & VAN ALLEN, PLLC 2200 W MAIN STREET SUITE 800 DURHAM, NC 27705

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800004612A

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RECORDATION DATE: 12/20/2002

REEL/FRAME: 013305/0279

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BUNCKE, HARRY J.

DOC DATE: 12/20/2002

ASSIGNOR:

HOFFMAN, FRANK

DOC DATE: 12/20/2002

ASSIGNEE:

QUILL MEDICAL, INC. 2505 MERIDIAN PARKWAY, SUITE 150 RESEARCH TRIANGLE PARK NORTH CAROLINA

27713

SERIAL NUMBER: 09919750

FILING DATE: 07/31/2001

ISSUE DATE:

PATENT NUMBER:

FILING DATE: 05/21/1997 ISSUE DATE: 08/03/1999

SERIAL NUMBER: 08859887 PATENT NUMBER: 5931855

013305/0279 PAGE 2

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



Electronic Version 1.1.0

12/20/2002 800004612

Stylesheet Version: 1.1.0

PATENT ASSIGNMENT

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT OF ASSIGNOR'S INTEREST

CONVEYING PARTY DATA

Name:

Buncke, Harry J.

Execution Date: 12/20/2002

Hoffman, Frank

Execution Date: <u>12/20/2002</u>

RECEIVING PARTY DATA

Name:

Quill Medical, Inc.

Stre t Address: 2505 Meridian Parkway, Suite 150

Internal Address:

City:

Research Triangle Park

State:

NORTH CAROLINA

Country:

Postal Code:

27713

PROPERTY NUMBERS

Application Number:

<u>09919750</u>

Patent Number:

5931855

Number of Properties: 2

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via facsimile to FAX NUMBER: 919.416.8337

CORRESPONDENCE DATA:

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When the customer number has been provided, the Office of Public Records will obtain the correspondence data from the official record on file at the USPTO.

CUSTOMER NUMBER: 024239

NAME OF PERSON SIGNING: Michael G. Johnston

DATE SIGNED:

12/20/2002

12, 23/02 7:55 PAGE 5/5 RIGHT AX

Total Attachments: 6

PATENT ASSIGNMENT AGREEMENT

THIS PATENT ASSIGNMENT AGREEMENT ("Agreement") is made and entered into this 14th day of October, 2002, by and between Harry J. Buncke, an individual residing at 1565 Kingswood Drive, Hillsborough, CA, 94010 Frank Hoffman, an individual residing at 1760 Willow Road, Hillsborough, CA, 94010 (hereinafter referred to as "Assignors"), and Quill Medical, Inc., a Delaware corporation with a principal place of business located at 2505 Meridian Parkway, Suite 150, Research Triangle Park, NC, 27713 (hereinafter referred to as "Assignee").

WITNESSETH

WHEREAS, Assignors are the sole and exclusive owners of the Patent Rights (as hereinafter defined) set forth herein; and

WHEREAS, Assignee desires to acquire, and Assignors desire to assign, each Assignor's entire right, title, and interest in and to the Patent Rights.

NOW, THEREFORE, for the good and valuable consideration set forth below, the receipt and sufficiency of which is hereby acknowledged, the parties hereby agree as follows:

Assignors hereby sell, assign, transfer and convey unto Assignee all of Assignors' right, title and interest in and to the patent applications and patents set forth on Exhibit A attached hereto, any patents issuing based on any patent applications set forth on Exhibit A, the inventions disclosed in any of the foregoing, any and all counterpart United States, international and foreign patents, applications and certificates of invention based upon or covering any portion of the foregoing and all reissues, divisionals, renewals, reexaminations, extensions, provisionals, continuations and continuations-in-part of any of the foregoing (collectively "Patent Rights").

Furthermore, Assignors hereby sell, assign, transfer and convey unto Assignee all of Assignors' right, title and interest in and to all other know-how, trade secrets, improvements, inventions discoveries, concepts, and ideas, whether patentable or not, relating to the subject matter disclosed and/or claimed in the Patent Rights (collectively "Know-How"). In addition, Assignors hereby agree to assign and transfer to Assignee, from time to time as necessary, all of the right, title and interest that Assignors may later acquire or create in and to the Know-How for a period of one (1) year after the effective date of this Agreement.

Assignors further agree to transfer, or cause to be transferred, all documents and things necessary or relevant for Assignee to pursue, protect, or exploit its rights hereunder.

1

H&M: 114003.2

Assignors further agrees to and hereby does sell, assign, transfer and convey unto Assignee: (i) those rights to recover past, present and future damages for infringement of the Patent Rights, and (ii) all rights to apply in any or all countries of the world for patents, certificates of invention or other governmental grants for the Patent Rights and Know-How, including without limitation under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperation Treaty, or any other convention, treaty, agreement or understanding. Assignors also hereby authorize the respective patent office or governmental agency in each jurisdiction to issue any and all patents or certificates of invention which may be granted upon any of the Patent Rights or Know-How in the name of Assignee, as the holder to the entire interest therein. Assignors further agrees, at the expense of Assignee, to take all necessary and reasonable steps to record this Agreement in the Assignments branch of the United States Patent and Trademark Office and the respective patent office or governmental agency in each jurisdiction as soon as practicable.

In consideration of this Assignment, Assignee shall (a) pay a total of Fifty Thousand Dollars (\$50,000) upon the execution of this Assignment, and (b) issue a total of Seventy Five Thousand (75,000) shares of Assignee's Series B Preferred Stock, wherein each of Harry J. Buncke and Frank Hoffman will receive Thirty Seven Thousand Five Hundred shares, and each subject to all the terms and conditions of the Subscription Agreements attached in Exhibits B and C, respectively.

Assignors hereby warrant and represent (a) that they have the full right to convey the entire interest herein assigned, (b) that they have not executed, and will not execute, any agreement in conflict herewith, (c) that Exhibit A is a true and complete list of all patents and patent applications, (d) that there are no licenses or other agreements pursuant to which any other person or entity granting any right, title or interest in the Patent Rights or Know-How, (e) that they are the sole and exclusive owners of the entire legal and beneficial right, title and interest in the Patent Rights and that the Patent Rights are free and clear of any lien, charge, security interest, claim or other such encumbrance, and (f) that the Patent Rights have not been adjudged invalid or unenforceable by any relevant patent authority or court of competent jurisdiction.

The terms and conditions of this Assignment shall inure to the benefit of Assignee, its successors, assigns and other legal representatives, and shall be binding upon Assignors, its successor, assigns and other legal representatives. This Agreement and its attached Exhibits A, B, and C, hereby incorporated by reference, contains the entire understanding of the parties with respect to the subject matter hereof. In the event any one or more of the provisions contained in this Agreement should be held invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby. The waiver by any party hereto of any right hereunder or the failure to perform or of a breach by any party shall not be deemed a waiver of any other right hereunder or of any other breach or failure by the other parties whether of a similar nature or otherwise. This Assignment may be executed in any number of counterparts, each of which when so

H&M: 114003.2

executed and delivered shall be an original, but all of which shall constitute one and the same agreement. It shall not be necessary in making proof of this Agreement to produce or account for more than one such counterpart.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

Α	C	CI	N	1	D	S:
^	-3	. 7	 BN:	١.	•	.

Harry J. Buncke

Frank Hoffman

Accepted and acknowledged:

ASSIGNEE:

Quill Medical Inc.

Rv:

Name: Matt Megaro

Title: President

executed and delivered shall be an original, but all of which shall constitute one and the same agreement. It shall not be necessary in making proof of this Agreement to produce or account for more than one such counterpart.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

ASSIGNORS:

Harry J. Buncks

Frank Hoffman

Accepted and acknowledged:

ASSIGNEE:

Quill Medical, Inc.

By:_____

Name: Matt Menero

Title: President

HAMI 114003,2

3

THE REPORT OF THE PROPERTY OF

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PAGE 02

ASSIGNORS:

Name: Matt Megaro
Title: President

executed and delivered shall be an original, but all f which shall constitute one and the same agreement. It shall not be necessary in making proof of this Agreement to produce or account for more than one such counterpart.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

Frank Hoffman

Harry J. Buncke
Accepted and acknowledged:
ASSIGNEE:
Quill Medical, Inc.
· •
Ву:

HAM: 114003.2

Exhibit A

U.S. Patent No. 5,931,855

Surgical Methods Using One-Way Suture

Inventor: Harry J. Buncke

U.S. Reissue application No. 09/919,750 filed July 31, 2001

European Application No. 98 923 644

Australian issued patent No. 743,056

Australian application No. 31406/02

Canadian application No. 2990533

New Zealand issued patent 501,442

New Zealand pending application 515,820

Mexican pending application No. 9910692

H&M: 114003.2

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01-10-03

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act	of 1995, no persons are require	ed to respond to a collection of info	ormation unless it displays a valid OMB control number.			
		Application Number	09/919,750			
TRANSMI	ITTAL	Filing Date	July 31, 2001			
FORM		First Named Inventor	Harry J. Buncke			
		Group Art Unit	3731			
(to be used for all corresponde	ence after initial filing)	Examiner Name	Jackson, Gary			
Total Number of Pages in Th	nis Submission 23	Attorney Docket Number	013341-000003b			
	ENCLOSU	RES (check all that apply)				
Fee Transmittal Form	ı —	nment Papers n Application)	After Allowance Communication to Group			
Fee Attached Amendment / Reply		ing(s)	Appeal Communication to Board of Appeals and Interferences			
After Final	Licen	sing-related Papers	Appeal Communication to Group			
Affidavits/declaration	n(s) Petition	on	(Appeal Notice, Brief, Reply Brief)			
Extension of Time Reques	Provi	on to Convert to a sional Application	Proprietary Information Status Letter			
Express Abandonment Re	Chan	er of Attorney, Revocation ge of Correspondence				
Information Disclosure Sta	Addre	ess	Other Enclosure(s) (please identify below):			
Certified Copy of Priority Documents	Term	inal Disclaimer	Certificate under 37 CFR 3.73;			
Response to Missing Parts		est for Refund	copies of chain of title documents; acknowledgement postcard			
Incomplete Application	 	Number of CD(s)				
Response to Missing under 37 CFR 1.52 c	· tomanto		-			
			ECHNO I			
	SIGNATURE OF APP	LICANT, ATTORNEY, OF	RAGENT 5 Z			
	S VAN ALLEN . G. JOHNSTON, REG. NO	<u>3</u> 8,194	AGENT LOGY CEN			
Signature Signature 1 tanuary 9 2003						
Date January 9, 2003						
CERTIFICATE OF MAILING						
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in an envelope addressed to: Comr	missioner for Patents, Waşl	nington, DC 20231 on this da	te: January 9, 2003.			
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Signature	74		Date January 9, 2003			

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